

INTERNAL AUDIT PLAN 2010/11

Audit Areas	Qtr	Plan	Actual	Bal	Status
SHARED SERVICES					
Capital	2	20	1.4	18.6	Work in Progress
Main Accounting / General Ledger	4	20	0.0	20.0	To commence Q4
Cash and Bank	4	20	0.0	20.0	To commence Q4
Creditors	4	20	0.0	20.0	To commence Q4
Payroll	4	20	0.0	20.0	To commence Q4
Treasury Management	4	15	0.0	15.0	To commence Q4
Emergency Planning / Business Continuity	4	20	0.0	20.0	To commence Q4
Project Support / General Controls Advice	All	20	4.6	15.4	ongoing
SUB-TOTAL		155	6.0	149.0	
CHORLEY					
CORPORATE GOVERNANCE					
External Inspections (CAA, UofR)	1	20	20.1	-0.1	Complete
Service Assurance Statements	1	12	11.6	0.4	Complete
Efficiencies	2	20	3.3	16.7	To commence Q4
Data Quality National Indicators	All	10	10.3	-0.3	Complete
Contract Standing Orders	3	15	12.7	2.3	Complete
ANTI-FRAUD & CORRUPTION					
NFI	All	30	29.1	0.9	ongoing
System Interrogations	Any	10	2.8	7.2	ongoing
Fraud Awareness / Bulletins	All	5	4.0	1.0	ongoing
KEY BUSINESS SYSTEMS					
Maintenance Inspection Regimes	1	30	5.4	24.6	Work postponed.
COMPUTER AUDIT					
Government Connect (Version 4.1)	3/4	15	0.0	15	To commence Q4
ICT Framework	3	15	1.7	13.3	To commence Q4
FINANCIAL SYSTEMS					
Key Systems Review (ISA)	3	40	10.6	29.4	To commence Q3/4.
Asset Management	1	20	30.0	-10.0	In progress-scope extended.
Estates	2	15	0.0	15.0	To commence Q4.
KEY OPERATIONS					
Refuse Collection / Recycling Contract	1	15	16.3	-1.3	Complete
Housing (Home Improvement Agency)	3	20	0.0	20.0	To commence Q4
Housing (Low Cost Housing)	2	20	19.7	0.3	Complete
Review of Planning Processes	2	15	22.2	-7.2	Complete
Review of Neighbourhood working	3	20	0.2	19.8	Review cancelled-replaced by Fees and charges audit.
Fees and Charges Audit	2	0	12.7	7.1	In progress
GENERAL AREAS					
Irregularities	Any	20	2.4	17.6	ongoing
Post Audit Reviews	All	30	12.5	17.5	ongoing
Residual Work from 2009/10	1	28	40.1	-12.1	All 09/10 Reviews now complete
Unplanned Reviews (Contingency)	Any	20	21.9	-1.9	ongoing
Project Support	All	15	1.5	13.5	ongoing
Audit Committee Reporting and Training	All	25	21.9	3.1	ongoing
SUB-TOTAL		485	322.1	162.9	